



Your privacy is very important to us

Client Privacy Notice

This Privacy Notice sets forth the policies of Stark & Roth, Inc. and its affiliates (collectively, "our" or "us") with respect to non-public, personal information about our private investment fund investors and separately managed account clients. These policies may be changed at any time, provided a notice of such change is given to you.

We do not disclose nonpublic, personal information about current, former or prospective fundinvestors or managed account clients to third parties other than as described below.

You provide us with personal information, such as your address, social security number or other identification number, assets and/or income information, (i) in the Subscription Agreement and related documents, (ii) in correspondence and conversations with us and our representatives, and (iii) through your investment transactions with us.

We may use this information to provide services to you, to initiate your investment in a private investment fund or separate account, to process a transaction for you or otherwise in furtherance of our business. In order to service your private investment fund interest or separate account and to effect transactions, we may provide your personal information to our affiliates and, to the extent allowed by law, firms that assist us in providing services to you and have a need for such information such as attorneys, accountants, auditors and fund administrators. We require third party service providers to protect the confidentiality of your information and to use the information only for the purposes for which it is disclosed.

We do not otherwise provide information about you to outside firms, organizations or individuals although we will release information about you if you direct us to do so, if compelled or allowed to do so by law, or in connection with any government or self-regulatory organization request or investigation. We seek to carefully safeguard your private information and, to that end, restrict access to nonpublic, personal information about you to our employees and other persons who need to know the information to enable us to provide services to you. We maintain physical, electronic and procedural safeguards to protect your non-public, personal information.